



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Monkhorst et al
Appl. No. : 10/658,886
Filing Date : September 9, 2003
Title : Controlled Fusion In a Field Reversed Configuration and Direct Energy Conversion

Group Art Unit : 3641
Examiner : Harvey E. Behrend

Docket No. : 703538.4018

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Attn.: Office of Initial Patent Examination's Filing Receipt Corrections
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of the Filing Receipt mailed from the Patent Office on December 3, 2003. Applicants respectfully request issuance of a corrected Filing Receipt which errors are noted in red on the enclosed copy. No new matter has been added by these corrections.

Applicants believe that no fee is due in connection with this filing. However, the Commissioner is authorized to charge any fee deficiencies associated with this filing to

CERTIFICATE OF MAILING
37 CFR §1.8

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) is being facsimile transmitted on this date to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22513-1450, attention: Art Unit 3641.

Dated: June 22, 2004

DOCSOCI:151968.1


Mary K. Smith

Applicant : Monkhurst et al
Appl. No. : 10/658,886
Examiner : Harvey E. Behrend
Docket No. : 703538.4018

counsel's Deposit Account No. **150665**.

Respectfully submitted,

ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: June 22, 2004

By: 

Kenneth S. Roberts
Reg. No. 38,283

Orrick, Herrington & Sutcliffe LLP
4 Park Plaza, Suite 1600
Irvine, CA 92614-2558
Tel. 949-567-6700
Fax: 949-567-6710
Customer Number: 34313



UNITED STATES PATENT AND TRADEMARK OFFICE

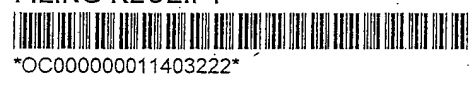
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/658,886	09/09/2003	3641	402	703538.4018	16	23	2

CONFIRMATION NO. 3199

34313
ORRICK, HERRINGTON & SUTCLIFFE, LLP
4 PARK PLAZA
SUITE 1600
IRVINE, CA 92614-2558

FILING RECEIPT



Date Mailed: 12/04/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hendrik J. Monkhorst, Gainesville, FL;
Norman Rostoker, Irvine, CA;

Domestic Priority data as claimed by applicant

This application is a CON of 10/077,661 02/14/2002 PAT 6,628,740
which is a CIP of 09/902,934 07/10/2001 ABN
which is a CON of 08/953,130 10/17/1997 ABN *
and said 10/077,661 02/14/2002
is a CIP of 09/915,965 07/25/2001
which is a CON of 09/878,046 06/08/2001 ABN
which is a CIP of 08/953,130 10/17/1997 ABN *
and said ~~09/915,965 07/25/2001~~ 10/077,661 02/14/2002
claims benefit of ~~60/266,074 02/04/2001~~ 60/277,374 03/19/2001
and claims benefit of 60/297,086 06/08/2001
(*Data provided by applicant is not consistent with PTO records.

ORRICK IP PROSECUTION
Due Date 3/9/05
Action Item Status Check
Resp Any KSR
Docketed by DMD
Entered 12/9/03

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

RECEIVED
DEC 09 2003

Early Publication Request: No

**** SMALL ENTITY ****

Title

Controlled fusion in a field reversed configuration and direct energy conversion

Preliminary Class

376

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).